

Neurocognitive effects as

Therapeutic Advances in Drug Safety

Neurocognitive effects associated with proprotein convertase subtilisin-kexin type 9 inhibitor use: a narrative review

Wei C. Yuet , Didi Ebert and Michael Jann

Abstract: Neurocognitive adverse events have been observed with the widespread use of 3-hydroxy-3-methylglutaryl-CoA reductase inhibitors or "statins," which reduce low-density lipoprotein cholesterol (LDL-C) levels and subsequently cardiovascular risk. The United States Food and Drug Association directed manufacturers of proprotein convertase subtilisin-kexin type 9 (PCSK9) inhibitors to monitor for neurocognitive adverse events due to their potent effects on LDL-C reduction, which is a proposed mechanism for neuronal cell dysfunction. Other proposed mechanisms for PCSK9 inhibitor-associated neurocognitive adverse events include N-methyl-D-aspartate receptor modulation, dysregulation of lipid and glucose metabolism, and patient-specific risk factors for cognitive impairment. The purpose of this narrative review article is to describe the proposed mechanisms, incidence of neurocognitive adverse events from phase II and III trials for PCSK9 inhibitors, neurocognitive assessments utilized in clinical trials, and clinical implications. Given the increasing prevalence of PCSK9 inhibitor use and the neurocognitive adverse events observed with prior lipid-lowering therapies, clinicians should be aware of the risks associated with PCSK9 inhibitors, especially when therapy is indicated for patients at high risk for cardiovascular events. Overall, the incidence of PCSK9 inhibitor-associated neurocognitive appears to be uncommon. However, additional prospective studies evaluating cognitive impairment may be beneficial to determine the long-term safety of these agents.

Keywords: adverse drug event, cognitive impairment, PCSK9 inhibitor

Received: 6 July 2019; revised manuscript accepted: 17 August 2020.

Introduction

Lipid-lowering agents are among the most commonly prescribed medications in the world. In 2011, one in four United States (US) adults reported use of a prescription lipid-lowering medication in the past 30 days, and most were prescribed 3-hydroxy-3-methylglutaryl-CoA (HMG-CoA) reductase inhibitor (known as "statins") alone.1 Significant reductions in lowdensity lipoprotein cholesterol (LDL-C) levels, leading to reduced risk of clinical atherosclerotic disease, drives the common use of statins.²⁻⁵ However, widespread use of statins has also resulted in several reported adverse events including muscle symptoms, incident diabetes, and cognitive impairment.6 Following review of the

Adverse Event Reporting System database and other published literature in 2012, the US Food and Drug Administration (FDA) issued statin label warnings for reversible cognitive adverse events such as cognitive dysfunction, confusion, and memory impairment.⁷ The correlation between intense LDL-C reduction and neurocognitive adverse events remains unclear, but a proposed mechanism is that the inhibition of cholesterol synthesis may affect the essential functions of neurons whose cell membranes and myelin consists of cholesterol and other lipids.8 The evidence supporting this proposed mechanism is variable due to the inclusion of patient populations at low risk for clinical decline, selfreported memory impairment versus cognitive

Ther Adv Drug Saf 2021. Vol. 12: 1–12

DOI: 10.1177/ 2042098620959271

© The Author(s), 2021. Article reuse guidelines: sagepub.com/journalspermissions

Correspondence to: Wei C. Yuet

Department of Pharmacy Clinical Services, JPS Health Network, 1500 S. Main Street, Fort Worth, TX 76104, USA

wyuet@jpshealth.org

Didi Ebert

Department of Family Medicine, University of North Texas Health Science Center, Fort Worth, TX, USA

Michael Jann

Department of Pharmacotherapy, University of North Texas Health Science Center, Fort Worth, TX, USA



testing, and largely case reports or observational studies.9

Like statins, PCSK9 inhibitors including alirocumab and evolocumab provide a robust LDL-C reduction, approximately 60% as monotherapy.^{4,5} These monoclonal antibodies inhibit the metabolism of PCSK9, which regulates the degradation of LDL receptors in response to intracellular cholesterol concentrations. 10 LDL receptors are rendered more susceptible to enzymatic degradation rather than recycled back to the surface of the hepatocyte when they bind to PCSK9. PCSK9 inhibition results in increased circulation of LDL receptors to clear LDL-C from the bloodstream. These agents are prescribed mainly for patients with very elevated LDL-C levels (e.g., familial hypercholesterolemia), patients with history of clinical atherosclerotic disease who require additional LDL-C reduction, and patients who cannot tolerate statins or other lipid-lowering therapies. 11

PCSK9 inhibitors are generally well-tolerated and lack adverse events commonly seen with previous lipid-lowering therapies such as myopathy. However, there is significant interest in the association between PCSK9 inhibitors and cognitive impairment given the reports of such adverse events with statins and intense LDL-C reduction. In 2014, the FDA directed manufacturers to monitor for neurocognitive adverse events and consider neurocognitive testing, but without recommendations for specific assessment methods or instruments.12 The objective of this narrative review is to describe the following with regards to PCSK9 inhibitor use and neurocognitive adverse events: proposed mechanisms, incidence and description of adverse events, neurocognitive assessments utilized in clinical trials, and clinical implications of the FDA's manufacturer directive.7

Methods

A search of the MEDLINE and PubMed databases was performed to identify articles for a narrative review of neurocognitive adverse events associated with PCSK9 inhibitor use. Combinations of the Medical Subject Headings and terms were searched: PCSK9 inhibitor, alirocumab, SAR236553, REGN727, evolocumab, AMG 145, adverse events, neurocognition, cognitive impairment, and cognitive adverse events. The search was restricted to phase II or phase III human studies in English for articles from January 2010 to March 2019. References cited in the articles identified by the search were also evaluated and included if relevant. A total of 12 articles for alirocumab and evolocumab were reviewed to describe the incidence of neurocognitive adverse events. A third agent, bococizumab, was withdrawn from development in 2016 and therefore excluded from this review.

Proposed mechanisms

The precise mechanism of neurocognitive adverse events associated with PCSK9 inhibitor use is unknown. The molecular size of the PCSK9 monoclonal antibodies are substantially greater than small molecules that easily penetrate the blood brain barrier. ^{13,14} However, sustained low LDL concentrations in the body may pose problems related to neurocognition through a variety of pathways. Figure 1 depicts a conceptual and theoretical framework of four mechanistic routes.

Dysregulation of lipid and glucose metabolism

The biochemical process behind cholesterol synthesis – regulation of the mevalonate or HMG-CoA reductase pathway – is well-known, and serves as the site of action for statins. ¹⁵ Both lipid and glucose metabolism are regulated by homeostatic processes. The dysregulation of these processes may have a role in the evolution of beta-amyloid (A β) and tau proteins. ¹⁶ The presence and overproduction of A β and tau proteins form the basis of Alzheimer's disease and other dementias. Apolipoprotein E (ApoE) is an essential regulator of brain cholesterol metabolism, and various ApoE mutations could serve as risk factors for Alzheimer's disease. ^{15,16}

Statins increase α -secretase activity and decrease A β production based on animal and clinical studies. The contrast, the β -site amyloid precursor protein (APP) promotes A β production. The rate-limiting step for A β production is severance of APP by the β -site APP-cleaving enzyme 1 (BACE 1). PCSK9 is suggested to contribute to the disposal of nonacetylated BACE 1 where overexpression and downregulation significantly decreased and increased BACE 1 levels, respectively. These findings propose that PCSK9 may be involved with BACE1 metabolism and A β production. However, this evidence is not accepted

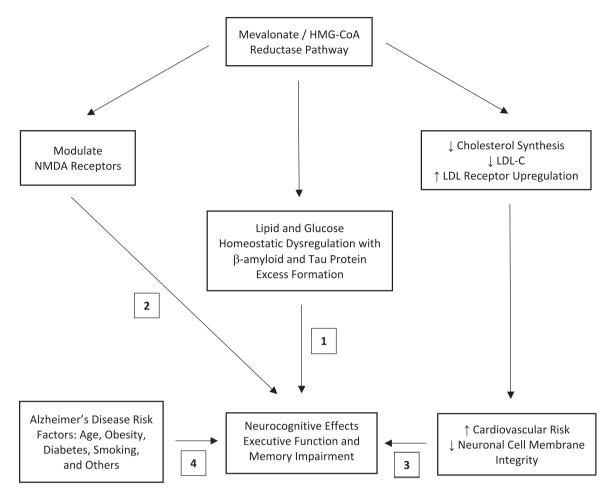


Figure 1. Proposed mechanisms of PCSK9 inhibitor-associated neurocognitive adverse events. HMG-CoA, 3-hydroxy-3-methylglutaryl-CoA; LDL-C, low-density lipoprotein cholesterol; NMDA, N-methyl-d-aspartate; PCSK9, proprotein convertase subtilisin-kexin type 9.

by others where PCSK9 downregulation failed to affected BACE 1 levels in animal models.¹⁹

It is possible that PSCK9 promotes neuroinflammation in patients with Alzheimer's disease and alcohol use disorder (AUD) since impairment of the blood brain barrier may alter PCSK9 concentrations. ²⁰ Brain autopsies in patients with Alzheimer's disease reported elevated PCSK9 messenger RNA and protein levels in the frontal cortex. Cerebral spinal fluid PCSK9 concentrations were significantly higher in patients with Alzheimer's disease and AUD compared with controls.

N-methyl-D-aspartate receptor modulation

Cholesterol is reportedly a potent endogenous modulator of N-methyl-D-aspartate (NMDA)

receptors but not the amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid and kainite receptors.²¹ NMDA receptors are cationic channels permeable to calcium ions and mediate excitatory neurotransmission in the central nervous system. Excessive excitatory neurotransmission may result in neuro-degeneration and possibly dementia due to a disproportionate calcium influx into the neurons.²²

Altered neuronal cell membrane integrity

Altered cholesterol synthesis leading to low or very low levels of LDL-C is a controversial and commonly suggested cause of neurocognitive adverse events associated with the use of lipid-lowering medications. These actions may influence the development and function of neuronal cell membranes since lipids have a vital role in cell membrane integrity.²³

Patient risk factors for neurocognitive impairment

PCSK9 inhibitors and genetic variants leading to sustained low LDL-C concentrations may also promote the cardiovascular risk factors for Alzheimer's disease and neurocognitive impairment. 8,23 The overall risk factors for Alzheimer's disease, such as age, obesity, and comorbidities (e.g., hypertension, diabetes) must be considered in the patient assessment. 8,16,24

Two large-scale studies examined the possible causal effect of genetic variants on the risk of cognitive impairment using the Mendelian randomization method.^{25,26} Low LDL cholesterol levels due to PCSK9 genetic variants had no causal effect on cognitive impairment in one study (N=111,194) but suggested that the low LDL levels may have a causal effect in reducing the risk of Alzheimer's disease.25 A second large study (N=337,348) found no strong associations between PCSK9 alleles and cognitive impairment, contrary to the link between ApoE mutations and cognitive impairment.²⁶ Together, these studies highlight the difficulty in attributing cognitive impairment to specific genetic PCSK9 variants.

PCSK9 inhibitors: incidence of neurocognitive adverse events

Following the FDA directive to manufacturers, many study investigators observed for neurocognitive adverse events based on the proposed mechanisms described above. The table lists the findings from the phase II and III trials that evaluated neurocognitive adverse events. ^{4,27–40} Specific conditions, broadly described as neurocognitive adverse events or disorders, are also included in the table if provided by the study authors.

Alirocumab

Two early studies compared alirocumab (SAR236553/REGN727) with placebo in patients on statin therapy. ^{24,27,28} Neurocognitive adverse events were not reported in a study by McKenney *et al.* on safety and LDL-C-lowering efficacy of SAR236553 *versus* placebo in patients with primary hypercholesterolemia taking atorvastatin (*N*=183). ²⁷ Stein *et al.* compared the LDL-C-lowering efficacy of SAR236553 with placebo in patients with heterozygous familial hypercholesterolemia on statin therapy with or without

ezetimibe in a phase II trial (N=77).²⁸ Nine (20%) patients in the treatment arm reported nervous system disorders compared with zero patients receiving placebo, but the type or severity of the nervous system disorders was not discussed.

The ODYSSEY series of double-blind, placebocontrolled trials analyzed the efficacy and safety of alirocumab. A custom Medical Dictionary for Regulatory Activities (MedDRA) query was utilized to identify neurocognitive adverse events in these studies based on five High-Level Group Terms, described in the table. The ODYSSEY LONG TERM investigators compared alirocumab with placebo in patients with heterozygous familial hypercholesterolemia and/or clinical ASCVD on an optimized statin dose $(N=2341).^5$ The mean study-drug exposure was 70 weeks, and the mean age of study participants was 60 years. Neurocognitive adverse events were reported for 18 (1.2%) patients receiving alirocumab and four (0.5%) patients receiving placebo. Of the 18 patients, 3 reported at least two consecutive LDL-C levels below 25 mg/dl. The most common neurocognitive events in the alirocumab arm included amnesia (n=5), memory impairment (n=4), and confusional state (n=4). No statistically significant differences between the alirocumab and placebo arms with regards to incidence of neurocognitive adverse events were found.

The 52-week ODYSSEY COMBOI study compared alirocumab with placebo in patients with hyperlipidemia and established coronary heart disease or coronary heart disease risk equivalents on an optimized statin dose with or without other lipid-lowering therapy (N=316).²⁹ No patients in the alirocumab arm and only one patient in the placebo arm (0.9%) reported neurocognitive events. Overall, the incidence of adverse events was comparable between the two treatment except for injection-site reactions. ODYSSEY FHI (n=486) and FHII (n=249)compared alirocumab with placebo in patients with heterozygous familial hypercholesterolemia and inadequate LDL-C control on optimized lipid-lowering therapy at 78 weeks.³⁰ Within FHI and FHII combined, a total of two patients taking alirocumab and three patients taking placebo reported neurocognitive disorders. The cardiovascular outcomes trial ODYSSEY OUTCOMES noted a similar incidence of neurocognitive

disorders between the alirocumab (1.5%) and placebo (1.8%) arms.³² Among the ODYSSEY trials, the overall incidence of adverse events was similar between groups except for injection-site reactions.^{29,30,32}

The efficacy and safety of alirocumab was also studied in native Asian populations. ODYSSEY KT compared alirocumab with placebo in South Korean and Taiwanese patients with high cardiovascular risk on an optimized statin dose (N=199).³³ One patient (1%) receiving placebo reported neurocognitive disorders within the entire study population. On the contrary, ODYSSEY JAPAN reported nervous system disorders in 15.4% of patients on alirocumab (n=143) and 11.1% of patients on placebo (n=72).³¹ The mean age of the study group was 60.8 years. All patients in the study received a stable dose of statin, and 14.4% of these patients received additional lipid-lowering therapy. Two patients experiencing nervous system disorders on alirocumab had two consecutive LDL-C levels less than 25 mg/dl. It is unclear whether these adverse events resulted in treatment discontinuation. Potential relationships between PCSK9 inhibitor use and cognitive impairment in Japanese subjects have not been described. However, this adverse event is particularly concerning due to the increased prevalence of allcause dementia among the rapidly aging Japanese population.41

Evolocumab

The safety and efficacy of evolocumab was first evaluated in the randomized, open-label studies OSLER-1 and OSLER-2 for patients with cardiovascular risk factors on standard therapy.³⁷ The combined incidence of adverse events (69.2% versus 64.8%) and severe adverse events (7.5% versus 7.5%) was comparable between the evolocumab and standard therapy groups. Of patients in the combined evolocumab arm, 27 (0.9%) experienced neurocognitive adverse events (conditions defined in Table 1), which was not associated with differences in minimum post-baseline LDL-C levels. In the OSLER-1 Extension Study, only 0.4% of patients receiving evolocumab (n=1255) experienced neurocognitive adverse events compared with zero patients receiving standard therapy.³⁸ Additionally, no neurocognitive adverse events were reported in a phase III

trial of evolocumab in Japanese patients at high cardiovascular risk on statin therapy (N=404).³⁹

The FOURIER study compared evolocumab with placebo for secondary prevention of clinical ASCVD in patients on optimized statin therapy with or without ezetimibe (N=27,564). Neurocognitive adverse events were reported in 1.6% and 1.5% of evolocumab and placebo groups, respectively. Patients enrolled FOURIER were screened for eligibility for the EBBINGHAUS study (N=1204) – a randomized placebo-controlled trial evaluating the cognitive function of patients adding evolocumab to statin therapy. 40 The assessment tool and study endpoints are described in the Neurocognitive Assessment section of this review. The mean age of patients was 63 years, and the median study period was 19 months. The mean ± standard deviation (SD) change in the raw score for the Cambridge Neuropsychological Test Automated Battery (CANTAB) spatial working memory strategy index was -0.21 ± 2.62 for evolocumab and -0.29 ± 2.81 for placebo compared with baseline (p < 0.001 for non-inferiority; p = 0.85for superiority). The authors did not report significant differences between groups for working memory, episodic memory, or psychomotor speed. Most patients within the evolocumab arm were prescribed a high-intensity statin (n = 399; 68.1%), with 5.5% of these patients on concomitant ezetimibe. A post hoc analysis revealed no direct relationship between LDL-C levels and neurocognitive changes. Significant differences in ECog questionnaire results were not observed between groups for either individual domains or the total score. Cognitive adverse events, categorized by MedDRA terms, were reported in 1.9% of patients on evolocumab and 1.6% of patients on placebo.

Neurocognitive assessment

Cognitive decline is assessed among patients with various forms of dementia such as Alzheimer's disease, and the use of such evaluations is uncommon in disease states beyond the neurology and psychiatry communities. A wide variety of neurocognitive assessments are available to examine medication effects on cognitive and non-cognitive aspects of dementia. However, these assessments are typically reserved for clinical trials monitored by regulatory agencies since they are

Table 1. Neurocognitive adverse events in PCSK9 inhibitor trials.

Study	Intervention	Cognitive assessment	Findings
McKenney et al. ²⁷	Alirocumab ($n = 151$) versus placebo ($n = 31$) in patients with LDL-C ≥ 100 mg/dl on stable atorvastatin dose	None	No neurocognitive adverse events reported
Stein <i>et al.</i> ²⁸	Alirocumab $(n=62)$ versus placebo $(n=15)$ in patients with HeFH and LDL-C ≥ 100 mg/dl on stable diet and statin dose with or without ezetimibe	None	Nervous system disorders reported for 9 (14.5%) in alirocumab arm and 0 in placebo arm; no specific conditions listed
ODYSSEY COMBO I ²⁹	Alirocumab ($n = 209$) versus placebo ($n = 107$) in patients with CHD or CHD risk equivalents and hypercholesterolemia on optimized statin with or without other LLT	None	Nervous system disorders reported for 24 (11.6%) in alirocumab arm and 17 (15.9%) in placebo arm; dizziness accounts for nearly 50% of this rate; no other conditions listed
ODYSSEY FHI and FHII ³⁰	Alirocumab ($n=323$) versus placebo ($n=163$) in FHI; alirocumab ($n=167$) versus placebo ($n=82$) in FHII; patients with HeFH with or without history of CV events and elevated LDL-C ($\ge 100 \text{mg/dl}$ for primary prevention or $\ge 70 \text{mg/dl}$ for secondary prevention)	None	Neurocognitive disorders reported for 2 (0.6%) in alirocumab arm and 2 (1.2%) in placebo arm in FHI; 0 in alirocumab arm and 1 (1.2%) in FHII; conditions categorized by <i>MedDRA</i> queries but not listed
ODYSSEY JAPAN ³¹	Alirocumab ($n=144$) versus placebo ($n=72$) in Japanese patients on stable LLT with HeFH or at high CV risk requiring additional pharmacologic therapy to achieve LDL-C < 100 mg/dl or < 120 mg/dl depending on risk category	None	Neurocognitive disorders reported for 143 (1.5%) in alirocumab arm and 167 (1.8%) in placebo arm; no specific conditions listed
ODYSSEY OUTCOMES ³²	Alirocumab ($n = 9462$) versus placebo ($n = 9462$) in patients with history of ACS at high risk for recurrent CV events on high-intensity statin therapy	None	Neurocognitive disorders reported for 143 (1.5%) in alirocumab arm and 167 (1.8%) in placebo arm; no specific conditions listed
LAPLACE-2 ³⁴	Evolocumab plus statin ($n = 1117$) versus atorvastatin plus ezetimibe ($n = 221$) versus any statin plus placebo ($n = 558$) in patients with hypercholesterolemia	None	No neurocognitive adverse events reported for statin plus placebo arm; 3 (1.4%) in atorvastatin plus ezetimibe arm reported disturbance in attention, cognitive disorder, or disorientation; 1 (0.1%) conditions categorized by <i>MedDRA</i> queries as deliria including confusion, cognitive and attention disorders and disturbances, dementia and amnestic conditions, disturbances in thinking and perception, and mental impairment disorders
MENDEL-2 ³⁵	Evolocumab $(n=306)$ versus ezetimibe $(n=154)$ versus placebo $(n=155)$ in patients with hypercholesterolemia at high CV risk	None	No neurocognitive adverse events reported
RUTHERFORD-2 ³⁶	Evolocumab ($n = 110$) versus placebo ($n = 54$) every 2 weeks and evolocumab ($n = 110$) versus placebo ($n = 55$) every 4 weeks in patients with HeFH on stable LLT	None	No neurocognitive adverse events reported

(Continued)

Table 1. (Continued)

Study	Intervention	Cognitive assessment	Findings
OSLER-1 and OSLER-2 ³⁷	Evolocumab plus standard therapy $(n = 2976)$ <i>versus</i> standard therapy $(n = 1489)$ alone in patients with hypercholesterolemia	None	Neurocognitive adverse events reported for 27 (0.9%) in evolocumab arm and 4 (0.3%) in standard therapy arm; conditions defined as delirium, confusion, cognitive and attention disorders and disturbances, dementia, and amnestic conditions, disturbances in thinking and perception, and mental impairment disorders
OSLER-1 Extension ³⁸	Evolocumab exposure at years 1–5 $(n=1255, 1147, 1082, 963, 543)$ versus standard of care $(n=442)$ in patients with HeFH	None	Neurocognitive adverse events reported in evolocumab arm for years 1 [7 (0.6%)], 2 [4 (0.3%)], 3 [6 (0.6%)], and 4 [2 (0.2%)] of exposure; no neurocognitive adverse events reported for placebo arm or year 5 of evolocumab exposure; conditions categorized by MedDRA queries but not listed
Kiyosue <i>et al</i> . ³⁹	Evolocumab ($n = 202$) <i>versus</i> placebo ($n = 202$) in Japanese patients with high CV risk on atorvastatin	None	No neurocognitive adverse events reported
FOURIER ⁴	Evolocumab ($n = 13784$) versus placebo ($n = 13780$) in patients with ASCVD and LDL-C ≥ 70 mg/dl receiving statin therapy	None	Neurocognitive adverse events reported for 217 (1.6%) in evolocumab arm and 202 (1.5%) in placebo arm; conditions categorized by <i>MedDRA</i> queries but not listed
EBBINGHAUS ⁴⁰	Evolocumab ($n=586$) versus placebo ($n=618$) in patients with ASCVD and LDL-C ≥ 70 mg/dl or non-HDL-C ≥ 100 mg/dl receiving moderate-or high-intensity statin	CANTAB; ECog tool	Cognitive adverse events including memory or concentration difficulty reported for 11 (1.9%) in evolocumab arm and 8 (1.3%) in placebo arm within primary analysis; no significant differences in cognitive function test scores or self-assessment observed between groups

ACS, acute coronary syndrome; ASCVD, atherosclerotic cardiovascular disease; CANTAB, Cambridge Neuropsychological Test Automated Battery; CHD, coronary heart disease; CV, cardiovascular; ECog, Everyday Cognition; HeFH, heterozygous familial hypercholesterolemia; LDL-C, low-density lipoprotein cholesterol; LLT, lipid-lowering therapy; MedDRA, Medical Dictionary for Regulatory Activities; non-HDL-C, non-high-density-lipoprotein cholesterol; PCSK9, proprotein convertase subtilisin-kexin type 9.

time-consuming and not designed for routine patient monitoring. The FDA had issued a directive to the developers of PCSK9 inhibitors to investigate possible neurocognitive adverse events originally noted in the various clinical trials. ⁴⁶ The neurocognitive assessments described in this review article are summarized in Table 1.

The EBBINGHAUS study was the first prospective evaluation of PCSK9 inhibitor use and cognitive function. EBBINGHAUS utilized the CANTAB among patients randomized to evolocumab or placebo with statin therapy. Used in a variety of clinical trials for over 30 years, the CANTAB is a language- and culture-independent computerized test that employs digital touch

screen technology.47 The primary outcome of EBBINGHAUS was the spatial working memory index of executive function score. Secondary outcomes included the scores for working memory, episodic memory, and psychomotor speed. The CANTAB was completed at baseline, at 24 weeks, annually, and at the end of the study. The effect of evolocumab on cognition was determined by changes in the CANTAB scores from baseline to the end of the study. At the final visit, EBBINGHAUS study participants also completed a cognition self-assessment, the Everyday Cognition (ECog) scale. The 23-item version of the ECog scale assesses memory and executive function such as planning, organization, and divided attention.48

Mefford et al. evaluated the neurocognitive impact of PCSK9 loss-of-function variants among blacks enrolled in REGARDS study.49 The rationale is that PCSK9 loss-of-function variants cause lifelong exposure to low LDL-C levels, which could possibly affect neurocognition. Two cognitive assessments were conducted via telephone at baseline and either biannually or annually for the duration of subject enrollment in the study. The biannual Consortium to Establish a Registry for Alzheimer's Disease (CERAD) battery consisted of three word assessments derived from the Alzheimer's Disease Assessment Scale-cognitive section: word list learning, world list recall, and semantic animal fluency. 50,51 The annual Six-Item Screener (SIS) consisted of a three-item word test and orientation questions derived from the Folstein Mini-Mental Status Exam. 52,53 Both the CERAD battery and SIS were validated in Alzheimer's disease to differentiate subjects with neurocognitive impairment compared with age-matched normal elderly subjects. 50,52 Mefford et al. noted that patterns of neurocognitive decline were similar between subjects with or without PCSK9 loss-of-function variants. 49 This finding suggests that lifelong exposure to low LDL-C levels is not associated with neurocognitive impairment among this population.

Discussion

Although PCSK9 inhibitors are generally welltolerated, there is concern for neurocognitive adverse events based on associations among other lipid-lowering medications and cognitive impairment. The FDA directive to manufacturers to assess the neurocognitive impact of PCSK9 inhibitors possesses unique challenges. The selection of appropriate assessment tools is essential to detect any impairment. One major limitation among the included trials is the lack of formal neurocognitive assessments in each trial. The ODYSSEY trials coded adverse events using Standardized MedDRA Queries (SMQs), which are pre-determined sets of medical terminology grouped by system or condition (e.g., hypersensitivity). SMQs are designed as safety tools, not tools for diagnosis or monitoring of disease progression. The symptoms within the SMQ categories of neurocognitive disorders or central nervous system disorders may not be well-defined or consistent with clinical criteria for diagnosis of cognitive impairment.

EBBINGHAUS utilized validated cognitive assessment tools such as CANTAB and the Ecog scale. However, both instruments have inherent limitations. The CANTAB computerized assessment is a validated tool used primarily for research purposes, but it has limited utility for clinicians. CANTAB does not utilize certain tests that have been essential in determining neurocognitive changes; subjects are not asked to recall words, draw diagrams, or answer questions related to orientation. Findings with the Ecog scale may translate more easily to the bedside; however, this self-assessment data is more prone to internal bias from the subjects. Patients with possible cognitive impairments may present with less than accurate data compared with the findings from a trained observer or caregiver.

There is a paucity of prospective studies specifically evaluating PCSK9 inhibitor-associated neurocognitive adverse events. Study duration, as well as number and type of assessments administered during the designated time-period, can provide an overall portrayal of possible neurocognitive effects. Perhaps, the methodology for these studies can be derived from the clinical trials for Alzheimer's disease. One suggestion is to combine the CANTAB, CERAD, and SIS assessments to furnish a broad spectrum of cognitive data to identify neurocognitive adverse events with high sensitivity and allow for translation to clinical practice as indicated.

Having working memory of the proposed mechanisms for PCSK9 inhibitor-associated neurocognitive effects is likely unnecessary given the actual incidence of adverse events. Among the articles included in this review, the incidence of neurocognitive adverse events with alirocumab or evolocumab use ranged from 0% to 15.4%. 29,33 Most importantly, there were no significant differences in neurocognitive adverse event rates between intervention and placebo groups in these studies. Similar findings were noted in a review article by Robinson et al., who pooled data from 14 trials pertaining to alirocumab and very low LDL-C levels with subsequent use.⁵⁴ The authors concluded that LDL-C levels less than 25 mg/dl or less than 15 mg/dl in patients treated with alirocumab were not associated with an increased risk of neurocognitive events. One critique is the evaluation of calculated versus measured plasma levels, which could

potentially lead to underestimated LDL-C concentrations.⁵⁵ Contrarily, a meta-analysis by Lipinski *et al.* reported an increased incidence of neurocognitive adverse events associated with PCSK9 inhibitors compared with placebo (OR 2.34; 95% CI 1.11–4.93; I^2 =4%; p=0.02).⁵⁶ The authors noted that this finding was largely influenced by the ODYSSEY LONG TERM and OSLER trial results.^{5,37}

The PCSK9 inhibitors alirocumab and evolocumab have demonstrated great efficacy in reduction of LDL-C levels and improvement of cardiovascular outcomes among patients on optimized statin therapy. 4,32,37 Additionally, PCSK9 inhibitor use is associated with a decreased incidence of all-cause mortality.^{5,37} Though there is concern for neurocognitive adverse events based on associations among other lipid-lowering medications and cognitive impairment, and there is some evidence to support this concern; the preponderance of evidence does not support the need to monitor neurocognitive function clinically in most patients prescribed PCSK9 inhibitors. However, clinicians should be prudent in considering a patient's overall risks for non-vascular neurocognitive impairment when PCSK9 inhibitor therapy is indicated.

This narrative review summarizes the assessments used in clinical trials to evaluate, proposed mechanisms for, and incidence of neurocognitive adverse events associated with PCSK9 inhibitor use. Limitations of this review include possible selection bias and a small number of data sources since only two databases were searched. Additionally, no measures of heterogeneity were utilized.

Conclusion

Given the reports of neurocognitive adverse events observed with prior lipid-lowering therapies, the more prominent role of PCSK9 inhibitors for prevention of cardiovascular events, and continued development of agents within this novel drug class; the findings described in this article are relevant to clinicians. PCSK9 inhibitors are indicated primarily for reduction of LDL-C levels among patients on optimized statin therapy or those who do not tolerate statins. Overall, neurocognitive adverse events associated with PCSK9 inhibitors appear to be

uncommon. Additional prospective studies evaluating cognitive impairment may be beneficial, especially to determine the long-term safety of these agents.

Conflict of interest statement

The authors declare that there is no conflict of interest.

Funding

The authors received no financial support for the research, authorship, and/or publication of this article.

ORCID iD

Wei C. Yuet https://orcid.org/0000-0002-0248-8113

References

- Gu Q, Paulose-Ram R, Burt VL, et al.
 Prescription cholesterol-lowering medication
 use in adults aged 40 and over: United States,
 2003–2012. NCHS Data Brief 2014; 177: 1–8.
- 2. Cheung BM, Lauder IJ, Lau CP, *et al.* Meta-analysis of large randomized controlled trials to evaluate the impact of statins on cardiovascular outcomes. *Br J Clin Pharmacol* 2004; 57: 640–651.
- 3. Cannon CP, Blazing MA, Giugliano RP, *et al.* Ezetimibe added to statin therapy after acute coronary syndromes. *N Engl J Med* 2015; 372: 2387–2397.
- Sabatine MS, Giugliano RP, Keech AC, et al. Evolocumab and clinical outcomes in patients with cardiovascular disease. N Engl J Med 2017; 376: 1713–1722.
- Robinson JG, Farnier M, Krempf M, et al. Efficacy and safety of alirocumab in reducing lipids and cardiovascular events. N Engl J Med 2015; 372: 1489–1499.
- 6. Yuet WC, Khine H and Ahmad Z. Statin-associated adverse events. *Clin Med Insights Ther* 2015; 7: 17–24.
- U.S. Food & Administration. FDA drug safety communication: important safety label changes to cholesterol-lowering statin drugs, https://www. fda.gov/Drugs/DrugSafety/ucm293101.htm (accessed 5 May 2018).
- 8. Banach M, Rizzo M, Nikolic D, *et al.* Intensive LDL-cholesterol lowering therapy and

- neurocognitive function. *Pharmacol Ther* 2017; 170: 181–191.
- 9. Mach F, Ray KK, Wiklund O, et al. Adverse effects of statin therapy: perception vs. the evidence focus on glucose homeostasis, cognitive, renal and hepatic function, haemorrhagic stroke and cataract. Eur Heart J 2018; 39: 2526–2539.
- 10. Page MM and Watts GF. PCSK9 inhibitors mechanisms of action. *Aust Prescr* 2016; 39: 164–167.
- 11. Lloyd-Jones DM, Morris PB, Ballantyne CM, et al. 2017 Focused update of the 2016 ACC expert consensus decision pathway on the role of non-statin therapies for LDL-cholesterol lowering in the management of atherosclerotic cardiovascular disease risk: a report of the American college of cardiology task force on expert consensus decision pathways. J Am Coll Cardiol 2017; 70: 1785–1822.
- 12. Smith JP. Deputy division director summary review repatha (evolocumab), https://www.accessdata.fda.gov/drugsatfda_docs/nda/2015/125522Orig1s000SumR.pdf (accessed 22 December 2018).
- 13. Banks WA. Characteristics of compounds that cross the blood-brain barrier. *BMC Neurol* 2009; 9(Suppl. 1): S3.
- Horton JD, Cohen JC and Hobbs HH. Molecular biology of PCSK9: its role in LDL metabolism. *Trends Biochem Sci* 2007; 32: 71–77.
- 15. Goldstein JL and Brown MS. Regulation of the mevalonate pathway. *Nature* 1990; 343: 425–430.
- Reitz C and Mayeux R. Alzheimer disease: epidemiology, diagnostic criteria, risk factors and biomarkers. *Biochem Pharmacol* 2014; 88: 640–651.
- Li HH, Lin CL and Huang CN. Neuroprotective effects of statins against amyloid β-induced neurotoxicity. Neural Regn Res 2018; 13: 198–206.
- 18. Mannarino MR, Sahebkar A, Bianconi V, et al. PCSK9 and neurocognitive function: should it be still an issue after FOURIER and EBBINGHAUS results? J Clin Lipidol 2018; 12: 1123–1132.
- 19. Liu M, Wu G, Baysarowich J, et al. PCSK9 is not involved in the degradation of LDL receptors and BACE1 in the adult mouse brain. J Lipid Res 2010; 51: 2611–2618.
- 20. O'Connell EM and Lohoff FW. Proprotein convertase subtilisin/kexin type 9 in the brain and

- relevance for neuropsychiatric disorders. *Front Neurosci* 2020; 14: 609.
- Korinek M, Vyklicky V, Borovska J, et al. Cholesterol modulates open probability and desensitization of NMDA receptors. J Physiol 2015; 593: 2279–2293.
- 22. Miller SW, Mahoney JM and Jann MW. Therapeutic frontiers in Alzheimer's disease. *Pharmacotherapy* 1992; 12: 217–231.
- de Bruijn RF and Ikram MA. Cardiovascular risk factors and future risk of Alzheimer's disease. BMC medicine 2014; 12: 130.
- 24. Imtiaz B, Tolppanen AM, Kivipelto M, *et al.* Future directions in Alzheimer's disease from risk factors to prevention. *Biochem Pharmacol* 2014; 88: 661–670.
- Benn M, Nordestgaard BG, Frikke-Schmidt R, et al. Low LDL cholesterol, PCKS9 and HMGCR genetic variation, and risk of Alzheimer's disease and Parkinson's disease: Mendelian randomisation study. BMJ 2017; 357: j1648.
- Lyall DM, Ward J, Banach M, et al. PCSK9 genetic variants, life-long lowering of LDLcholesterol and cognition: a large-scale Medelian randomization study. bioRxiv 2018. DOI: 10.1101/335877.
- 27. McKenney JM, Koren MJ, Kereiakes DJ, et al. Safety and efficacy of a monoclonal antibody to proprotein convertase subtilisin/ kexin type 9 serine protease, SAR236553/ REGN727, in patients with primary hypercholesterolemia receiving ongoing stable atorvastatin therapy. J Am Coll Cardiol 2012; 59: 2344–2353.
- 28. Stein EA, Gipe D, Bergeron J, et al. Effect of a monoclonal antibody to PCSK9, REGN727/ SAR236553, to reduce low-density lipoprotein cholesterol in patients with heterozygous familial hypercholesterolaemia on stable statin dose with or without ezetimibe therapy: a phase 2 randomised controlled trial. Lancet 2012; 380: 29–36.
- 29. Kereiakes DJ, Robinson JG, Cannon CP, et al. Efficacy and safety of the proprotein convertase subtilisin/kexin type 9 inhibitor alirocumab among high cardiovascular risk patients on maximally tolerated statin therapy: the ODYSSEY COMBO I study. *Am Heart J* 2015; 169: 906–915.e13.
- 30. Kastelein JJ, Ginsberg HN, Langslet G, *et al.* ODYSSEY FH I and FH II: 78 week results with alirocumab treatment in 735 patients with

- heterozygous familial hypercholesterolaemia. *Eur Heart* 7 2015; 36: 2996–3003.
- 31. Teramoto T, Kobayashi M, Tasaki H, et al. Efficacy and safety of alirocumab in Japanese patients with heterozygous familial hypercholesterolemia or at high cardiovascular risk with hypercholesterolemia not adequately controlled with statins- ODYSSEY JAPAN randomized controlled trial. Circ J 2016; 80: 1980–1987.
- 32. Schwartz GG, Steg PG, Szarek M, *et al.* Alirocumab and cardiovascular outcomes after acute coronary syndrome. *N Engl J Med* 2018; 379: 2097–2107.
- 33. Koh KK, Nam CW, Chao T-H, et al. A randomized trial evaluating the efficacy and safety of alirocumab in South Korea and Taiwan (ODYSSEY KT). J Clin Lipidol 2018; 12: 162–172.e6.
- 34. Robinson JG, Nedergaard BS, Rogers WJ, et al. Effect of evolocumab or ezetimibe added to moderate- or high-intensity statin therapy on LDL-C lowering in patients with hypercholesterolemia: the LAPLACE-2 randomized clinical trial. JAMA 2014; 311: 1870–1882.
- 35. Koren MJ, Lundqvist P, Bolognese M, *et al.* Anti-PCSK9 monotherapy for hypercholesterolemia: the MENDEL-2 randomized, controlled phase III clinical trial of evolocumab. *J Am Coll Cardiol* 2014; 63: 2531–2540.
- Raal FJ, Stein EA, Dufour R, et al. PCSK9 inhibition with evolocumab (AMG 145) in heterozygous familial hypercholesterolaemia (RUTHERFORD-2): a randomised, doubleblind, placebo-controlled trial. Lancet 2015; 385: 331–340.
- 37. Sabatine MS, Giugliano RP, Wiviott SD, *et al.* Efficacy and safety of evolocumab in reducing lipids and cardiovascular events. *N Engl J Med* 2015; 372: 1500–1509.
- 38. Koren MJ, Sabatine MS, Giugliano RP, et al. Long-term low-density lipoprotein cholesterol-lowering efficacy, persistence, and safety of evolocumab in treatment of hypercholesterolemia: results up to 4 years from the open-label OSLER-1 extension study. *JAMA Cardiol* 2017; 2: 598–607.
- 39. Kiyosue A, Honarpour N, Kurtz C, et al. A phase 3 study of evolocumab (AMG 145) in statin-treated Japanese patients at high cardiovascular risk. Am J Cardiol 2016; 117: 40–47.

- Giugliano RP, Mach F, Zavitz K, et al. Cognitive function in a randomized trial of evolocumab. N Engl 7 Med 2017; 377: 633–643.
- 41. Montgomery W, Ueda K, Jorgensen M, et al. Epidemiology, associated burden, and current clinical practice for the diagnosis and management of Alzheimer's disease in Japan. Clinicoecon Outcomes Res 2018; 10: 13–28.
- 42. Morgan CD and Baade LE. Neuropsychological testing and assessment scales for dementia of the Alzheimer's type. *Psychiatr Clin North Am* 1997; 20: 25–43.
- Finney GR, Minagar A and Heilman KM. Assessment of mental status. *Neurol Clin* 2016; 34: 1–16.
- 44. Martinelli JE, Cecato JF, Bartholomeu D, et al. Comparison of the diagnostic accuracy of neuropsychological tests in differentiating Alzheimer's disease from mild cognitive impairment: can the montreal cognitive assessment be better than the cambridge cognitive examination? Dement Geriatr Cogn Dis Extra 2014; 4: 113–121.
- 45. Webster L, Groskreutz D, Grinbergs-Saull A, et al. Development of a core outcome set for disease modification trials in mild to moderate dementia: a systematic review, patient and public consultation and consensus recommendations. Health Technol Assess 2017; 21: 1–192.
- Swiger KJ and Martin SS. PCSK9 inhibitors and neurocognitive adverse events: exploring the FDA directive and a proposal for N-of-1 trials. *Drug* Saf 2015; 38: 519–526.
- 47. Barnett JH, Blackwell AD, Sahakian BJ, *et al.*The paired associates learning (PAL) test: 30 years of CANTAB translational neuroscience from laboratory to bedside in dementia research.

 Curr Top Behav Neurosci 2016; 28: 449–474.
- 48. Farias ST, Mungas D, Reed BR, *et al.* The measurement of everyday cognition (ECog): scale development and psychometric properties. *Neuropsychology* 2008; 22: 531–544.
- 49. Mefford MT, Rosenson RS, Cushman M, *et al.* PCSK9 Variants, low-density lipoprotein cholesterol, and neurocognitive impairment: reasons for geographic and racial differences in stroke study (REGARDS). *Circulation* 2018; 137: 1260–1269.
- 50. Morris JC, Heyman A, Mohs RC, *et al.* The consortium to establish a registry for Alzheimer's disease (CERAD). Part I. Clinical and neuropsychological assessment of Alzheimer's disease. *Neurology* 1989; 39: 1159–1165.

- 51. Rosen WG, Mohs RC and Davis KL. A new rating scale for Alzheimer's disease. *Am J Psychiatry* 1984; 141: 1356–1364.
- 52. Callahan CM, Unverzagt FW, Hui SL, *et al.* Sixitem screener to identify cognitive impairment among potential subjects for clinical research. *Med Care* 2002; 40: 771–781.
- 53. Folstein MF, Folstein SE and McHugh PR. "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res* 1975; 12: 189–198.
- 54. Robinson JG, Rosenson RS, Farnier M, *et al.* Safety of very low low-density lipoprotein

- cholesterol levels with alirocumab: pooled data from randomized trials. *J Am Coll Cardiol* 2017; 69: 471–482.
- Meeusen JW, Donato LJ and Jaffe AS. Risk of adverse neurocognitive outcomes with PCSK-9 inhibitors. J Am Coll Cardiol 2017; 69: 2774-2775.
- 56. Lipinski MJ, Benedetto U, Escarcega RO, *et al.* The impact of proprotein convertase subtilisinkexin type 9 serine protease inhibitors on lipid levels and outcomes in patients with primary hypercholesterolaemia: a network meta-analysis. *Eur Heart* § 2016; 37: 536–545.

Visit SAGE journals online journals.sagepub.com/home/taw

\$SAGE iournals